

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In Re Application of:**

TENG, COOK, TILMAN, HARDEE  
ECKER AND MANOHARAN

Serial No.: 09/315,298

Group Art Unit: 1615

Filing Date: 5/20/99

**Examiner: N/A**

**For: COMPOSITIONS AND METHODS FOR NON-PARENTERAL DELIVERY OF OLIGONUCLEOTIDES**

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APID NAME MICHAEL P STRAHER  
REGISTRATION NO 15,325

REFUND SECTION, ACCOUNTING DIVISION, OFFICE OF FINANCE  
Assistant Commissioner for Patents  
Washington DC 20231

# REQUEST FOR REFUND

Enclosed please find a copy of the "Monthly Statement of Deposit Account" which was received in connection with the above-identified U S patent application. Please note that this application was filed on May 20, 1999 with an unexecuted small entity form. On June 1, 1999, the charges as listed were made to our deposit account. Our Deposit Account was charged \$908.00 for Fee Code 103 (claims in excess of 20) and \$234.00 for Fee Code 102 (independent claims in excess of 3). On July 13, 1999, the undersigned attorney filed a Response to Notice to File Missing Parts with an *executed* Small Entity Form using the Certificate of Mailing procedure (a copy of which is enclosed). Since there was error in the calculation of the independent claims when this application was originally filed, we acknowledge that \$117 (since

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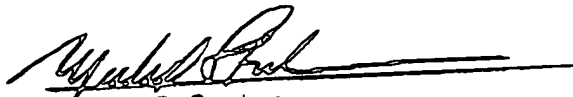
- 2 -

PATENT

this case is entitled to small entity status) was correctly charged to our Deposit Account. However, we request that \$1064.00 of the monies charged to our deposit account be credited to our deposit account, due to the fact that this application is entitled to small entity status and the proper paperwork was filed within the two-month time period from the filing of the application.

Please credit our Deposit Account No. 23-3050 in the amount of \$1064.00. This request is submitted in duplicate.

Date: July 19, 1999

  
Michael P. Straher  
Registration No. 38,325

Woodcock Washburn Kurtz  
Mackiewicz & Norris LLP  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439

## LAW OFFICES

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A PARTNERSHIP INCLUDING PROFESSIONAL CORPORATIONS

ONE LIBERTY PLACE - 46<sup>TH</sup> FLOOR  
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72945 Jd

DATE: December 8, 1999

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Thank-you.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Teng, Cook, Tillman, Hardee, Ecker, and  
Manoharan

Serial No.: 09/315,298

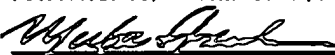
Group No.: 1615

Filing Date: May 20, 1999

Examiner: Not Assigned

For: COMPOSITIONS AND METHODS FOR NON-PARENTERAL DELIVERY  
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TYPED NAME: Michele P. Sireher  
REGISTRATION NO. 38,325

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington DC 20231

## RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the "Notice to File Missing Parts of Application--Filing Date Granted"  
dated June 17, 1999, a response to which is due August 17, 1999, enclosed herewith for filing  
is:

☒ The original Combined Declaration and Power of Attorney, executed by the  
inventor(s). An unexecuted copy of this document, attached to the above-  
identified specification, was filed by Express Mail No. EL219290591US on May  
20, 1999.

☒ An Associate Power of Attorney is also enclosed.

☐ Small entity status of this application under 37 CFR 1.9 and 1.27 has been

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PATENT

established by a Verified Statement previously submitted on



The original executed Verified Statement Claiming Small Entity Status Under 37 CFR 1.9 and 1.27 is filed herewith.



A Verified Statement Claiming Small Entity Status Under 37 CFR 1.9 and 1.27 has been forwarded to the Refund Section, Accounting Division, Office of Finance on July 1, 1999. A copy of this Verified Statement Claiming Small Entity Status form and the Request for Refund form is enclosed for your convenience.



A check in the amount of \$ 65 is attached to cover the surcharge. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.



Please charge the surcharge to my Deposit Account No. 23-3050 in the amount of \$@@.



The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.



Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).




The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).



Any filing fees under 37 CFR 1.16 including fees for presentation of extra claims.

Date: July 13, 1999

  
Michael P. Straher  
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Facsimile: (215) 568-3439

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Paper: REQUEST FOR REFUND WITH CERT OF MAILING  
STAMP; COPY OF DEPOSIT ACCOUNT. RESPONSE TO  
NOTICE TO FILE MISSING PARTS WITH COPY OF  
EXECUTED SMALL ENTITY FORM

Applicant(s): TENG ET AL

Serial No.: 09/315,298

Filed: 05/20/99

Docket No: ISIS-3510 CALDWELL/STRAHER (OCHROCH)

Date Sent: July 19, 1999

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Applicant(s): TENG ET AL

Serial No.: 09/315,298

Filed: 05/20/99

Docket No: ISIS-3510 CALDWELL/STRAHER (OCHROCH)

Date Sent: July 19, 1999

Woodcock Washburn Kurtz  
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| DAY         | YR. |             |  |                |               |                     |                 |
| 3           | 1   | 99          | 9  | 09315581       | ISIS-3613     | 103 JWC/MP5569.00   | 10803.60        |
| 3           | 1   | 99          | 11   | 09315581       | ISIS-3613     | 102 JWC/MP5 78.00   | 10725.60        |
| 3           | 1   | 99          | 14   | 09315154       | ?             | 704 JWC/MP5 -124.00 | 10849.60        |
| 3           | 1   | 99          | 58   | 09315298       | ISIS-3510     | 103 JWC/SL 908.00   | 9941.60         |
| 3           | 1   | 99          | 60   | 09315298       | ISIS-3510     | 102 JWC/SL 234.00   | 9707.60         |
| 6           | 1   | 99          | 318  | PCT/US99/07241 | ICE-0003      | 704 DMH/MPD 00.00   | 9807.60         |
| 6           | 1   | 99          | 373  | PCT/US99/09722 | CFMT-1077     | 151 HDP/KMF 250.00  | 9557.60         |
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| 6           | 2   | 99          | 197  | 08915103       | UNGR-0942     | 201 SEM/FAP 385.00  | 10161.60        |
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| 6           | 3   | 99          | 98   | 09169065       | HDXM-0003     | 704 DMH/MS -40.00   | 9854.60         |
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| 6           | 7   | 99          | 11   | 08855149       | CONC-0020     | 102 DJR 78.00       | 9601.60         |
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| 6           | 9   | 99          | 3  | 60089144       | IOM-0744      | 567 REK/MS 120.00   | 9494.60         |
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| 6           | 9   | 99          | 22   | 5773571        | ISIS-2113     | 145 JWC/SL 100.00   | 9274.60         |
| 6           | 10  | 99          | 21   | PCT/US99/12513 | CC-2142       | 704 DMH/HF -23.00   | 9297.60         |
| 6           | 10  | 99          | 151  | PCT/US99/12583 | CCZP-0073     | 704 DMH/LBN -23.00  | 9320.60         |
| 6           | 10  | 99          | 317  | 09269125       | WATE-0009     | 704 SEM/SEM 485.00  | 9805.60         |
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| 6           | 14  | 99          | 4  | 09078007       | ABDT-0238/B9  | 103 NLN/PJR 72.00   | 8863.60         |
| 6           | 14  | 99          | 408  | PCT/US99/12886 | AREN-0005     | 704 SEM/MS -111.00  | 8974.60         |
| 6           | 16  | 99          | 45   | 08866225       | IOM-1424      | 704 REK/MS 282.00   | 9256.60         |
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| 6           | 21  | 99          | 34   | 09328643       | AREN-0004     | 102 SEM/MS 156.00   | 8834.60         |
| 6           | 21  | 99          | 196  | 09254388       | ASTB-0037     | 704 SEM/MPD 550.00  | 9384.60         |
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| 6           | 24  | 99          | 1  | 08909408       | CFT-1004      | 248 MPD/comm 55.00  | 8763.60         |
| 6           | 25  | 99          | 21   | 08398901       | ISIS-0719     | 116 JWC/MS 380.00   | 9143.60         |
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